

11. Indicate the principal industries in which your clients specialize:

- _____ Aeronautics
- _____ Communications
- _____ Consumer / Home Use
- _____ Engineering / Scientific
- _____ Governmental (military)
- _____ Governmental (non-military)
- _____ Internet
- _____ Medical / Healthcare

12. For the revenue listed in Question 9, please indicate the approximate percentage of your total operations involving:

- _____ % Consulting/Design (Systems/Processes)
 - _____ % Custom Software Development
 - _____ % Package Software Development
 - _____ % Outsourcing
 - _____ % Support Services
 - _____ % Internet Access Provider
 - _____ % Internet Website Design
 - _____ % Web Hosting
 - _____ % On-Line Sale of Goods
 - _____ % On-Line Content Provider
 - _____ % Hardware/Firmware Development
 - _____ % Sale of Others' Products
 - _____ % Application Service Provider
 - _____ % Other (Describe): _____
- (Total 100%)

13. Indicate the primary applications of your operations:

- Communications _____
 - Accounting/Financial: _____
 - Funds Transfer _____
 - Data Management _____
 - Manufacturing: _____
 - Real-time Systems Monitoring, CAD/CAM/CAE _____
 - Data Management _____
 - Publishing/Imaging _____
 - Office Automation/Administration _____
 - Network Management _____
 - Security/Disaster Recovery _____
- Other (Describe): _____

- 14. a. Is all system design work documented and tested? Yes No
- b. Is a test plan followed for all programs/programming changes? Yes No
- c. Is documentation retained for the life of the system? Yes No
- 15. Is the client required to provide a written sign-off on all products and services provided? Yes No
- 16. Do clients have the responsibility for determining the accuracy of products and services? Yes No
- 17. Do you sell goods over the internet? Yes No

- a. Do you maintain CGL Coverage, including Products?
Provide Limits of Liability Yes No \$ _____
- b. Do you use encryption software? Yes No

18. Content of information on your On-Line Service: (Check all that apply.)

- ___ For Children ___ Radio/TV ___ Adult Only
 ___ Variety ___ News ___ Digital Music
 ___ Game or Quiz ___ Software ___ Comedy
 ___ Product Comparisons ___ Commentary ___ Advertising
 ___ Cultural ___ Religious ___ "How To" / Hobbyist
 ___ Sports ___ Educational ___ Other:

19. Do you take responsibility for delivery of such products? Yes No
- a. Do you maintain CGL Coverage, including Products?
Provide Limits of Liability Yes No \$ _____
- b. Do you use encryption software? Yes No

20. Does legal counsel review the content of your Web Site or On-Line Service for Media-type offenses? Yes No

SECTION C. SECURITY INFORMATION

21. Do you have a full time IT security manager? Yes No
22. Do you have a written procedure with respect to security? Yes No
23. Do you distribute a manual on security procedures to all employees? Yes No
24. How many of your PC's are equipped with anti-virus software? _____
25. How often is your anti-virus software updated? _____
26. What is the brand name of your anti-virus software? _____
27. Are firewalls in place as part of your security system? Yes No
28. What firewall security do you use? _____
29. Was the firewall system configured/installed by your staff or a third party? _____
30. Briefly describe your safeguards for preventing unauthorized persons from accessing your website, network or database: _____
31. Do you require employees to change access codes & passwords on a regular basis? Yes No
32. Do you have restricted access to your computer room? Yes No

33. Do you host your own web-sites and computer networks? Yes No
34. Is hosting or maintenance outsourced? Yes No
35. If outsourced, which company provides hosting? _____
36. Are credit card or funds transfer transactions conducted on your website? Yes No
37. As part of your online service, do you gather personal data of visitors to your site? Yes No
 If Yes, is this information sold or shared with third parties? Yes No
 If Yes, does your privacy policy advise of that the information is sold or shared? Yes No
 (If Yes, please provide details: _____)
38. Does your website contain materials designed to be downloaded, such as software, plug-ins, MP3 files? Yes No
 (If Yes, please provide details: _____)
39. To the best of your knowledge, have you ever had a security system breached? Yes No
 If Yes, please provide details: _____
40. Do your services include ASP Services Yes No
 If Yes how frequently are files duplicated? _____
 If Yes, is a disaster recovery plan in place? Yes No
 Please forward copy of plan _____

SECTION D. INTELLECTUAL PROPERTY

41. Do you have an established procedure to safeguard against infringing on copyrights/trademarks of others? Yes No
42. Do you obtain licensing agreements prior to using content provided by others? Yes No
43. Your On-Line Service is: (Check all that apply.)
 ___ Informational Website (If checked, what is your main topic: _____)
 ___ Internet Access/Service Provider
 ___ E-Commerce Web Page

44. Provide information on your Staff:
- | | Full Time | Part Time |
|--|-----------|-----------|
| a. Total Number: | _____ | _____ |
| b. Number hired within the past 12 months: | _____ | _____ |

SECTION E. INSURANCE HISTORY

45. In the past (5) five years, has any professional liability claim or suit ever been made against you or any of your predecessor firms if any? Yes No
 If "yes", how many? _____. *Please complete the Claim Supplement* and provide currently valued company loss runs for the past 5 years.
46. Does any principal, owner, partner or employee know of any incident, act, error or omission that could result in a claim or suit against the Applicant or any predecessor firms? Yes No
 If "yes", how many? _____. *Please complete the Claim Supplement* and provide currently valued company loss runs for the past 5 years.

47. Have all matters in Questions 45 and 46 been reported to your former or current insurer(s) or to the former insurer of any predecessor firm or former insurer of a current member of the Firm? Yes No

48. Please list your Professional Liability Insurance Coverage carried during the past three (3) years, including any periods without coverage.

Name of Insurer	Policy Period		Limits of Liability	Deductible/Retention	Premium
	From: MM/DD/YY	To: MM/DD/YY			

49. Does the current policy have a prior acts limitation or retroactive date? (This date should be the date which the Applicant first purchased claims made coverage that has been continuously renewed). If "Yes", please indicate date: / /
MM DD YY

50. Have you ever purchased an extended reporting endorsement? Yes No

51. In the past five (5) years, have you or any your members ever had professional liability insurance or similar insurance declined, cancelled or non-renewed (If "yes", please provide full details) Yes No

Limits Desired: _____

Deductible Desired: _____

Please Include:

Desired Effective Date: / /
MM DD YY

- A. Any brochures or promotional materials.
- B. Resumes of the Applicant's principals or key employees.
- C. A copy of the Applicant's standard client contract or agreement.

Representations

The Applicant declares that the above statement and representations are true and correct, and that no facts have been suppressed or misstated. All written statements and materials furnished to the Company, in conjunction with this application will be incorporated by reference into this application and made part hereof.

This application does not bind the Applicant to buy, or the Company to issue the insurance, but it is agreed that this form shall be the basis of the contract should a policy be issued, and it will be attached to and made part of the policy. The undersigned Applicant declares that if the information supplied on this application changes between the date of this application and the time when the policy is issued, the Applicant will immediately notify the company of such changes, and the Company may withdraw or modify any outstanding quotations and/or authorization or agreement to bind the insurance.

Signature of the Insured, Owner, Partner or Principal

Title

Date